



PFW/1621

"PATENT"

AMENDMENT TRANSMITTAL FORM

Invention of: Andrzej Malek et al.

U. S. Serial No.: 10/587,198

Filed: July 25, 2006

For: CATALYTIC PREPARATION OF SEVERELY
STERICALLY HINDERED AMINO-ETHER
ALCOHOLS USING A METAL LOADED
CATALYST

) Before the Examiner

) Unassigned

) Confirmation Number: 6712

) Group Art Unit:

) Family Number: P2004J008

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

- ☒ The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 3/28/07.

Transmittal herewith is an amendment/response in the above-identified application.

Petition for extension of time pursuant to 37 CFR 1.136 and 1.137 is hereby made, if and to the extent, required. The fee for this extension of time is calculated to be \$_____ to extend the time for filing this response until _____.

The fee for any changes in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid For	(5) Present Extra	(6) Rate	(7)
Total Claims	*	Minus	**		x 50.00	
Indep. Claims	*	Minus	***		x 200.00	
MULTIPLE DEPENDENT CLAIM FEE					\$360.00	
FEE FOR CLAIM CHANGES						

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The total fee for this STATEMENT UNDER 37 CFR 1.56, including claim changes and any extension of time is calculated to be \$_____.

- ☒ Charge \$_____ to DEPOSIT ACCOUNT NO. 05-1330.

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required by this paper, or credit any overpayment, to DEPOSIT ACCOUNT NO. 05-1330. A duplicate copy of this Form is enclosed.

DATE OF SIGNATURE

March 28, 2007

ATTORNEY OR AGENT OF RECORD

Post Office Address: [to which correspondence is to be sent]
ExxonMobil Research and Engineering Company
P. O. Box 900
Annandale, New Jersey 08801-0900

Estelle C. Bakun

Registration No. 35,054

- ☒ Pursuant to 37 CFR 1.34(a)

Facsimile No. (908) 730-3649



27810

PATENT TRADEMARK OFFICE



"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Andrzej Malek et al

U.S. Serial No. 10/587,198

Filed: July 25, 2006

CATALYTIC PREPARATION OF SEVERELY
STERICALLY HINDERED AMINO-ETHER
ALCOHOLS USING A METAL LOADED
CATALYST

§ Examiner: Unassigned
§
§ Confirmation Number: 6712
§
§
§ Group Art Unit:
§
§ Family No. P2004J008
§
§
§

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

STATEMENT UNDER 37 CFR 1.56

Applicants take this opportunity to bring to the attention of the United States Patent and Trademark Office various items which may be deemed relevant to the prosecution of the present application.

This statement is submitted more than three (3) months after the filing date of the application but prior to the receipt (and mailing?) of a first action on the merits. Under 37 CFR 1.97(b)(3) no fee should be required. If a first action has in fact already been mailed (but not yet received), under 37 CFR 1.97(c)(2), the required fee set forth in §1.17(P) may be charged by the Commissioner to Deposit Account No. 05-1330 in accordance with the authorization given on the accompanying Amendment Transmittal Form.

I hereby certify that I have a reasonable basis for believing that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.

Jacqueline Wright

Name of attorney or agent

Jacqueline Wright
Signature

3/28/07

Date of Signature



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PATENT TRADEMARK OFFICE

U.S. Serial No. 10/587,198
Statement Under 37 CFR 1.56
Family No. P2004J008

- 2 -

Reference is made to the following copending related application:

WO 2005/081778

Respectfully submitted,



Joseph J. Allocca
Attorney for Applicants
Registration No. 27,766
Telephone Number: (908) 730-3629
Facsimile Number: (908) 730-3649

☒ Pursuant to 37 CFR 1.34(a)

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Annandale, New Jersey 08801-0900

JJA:kak/jdw
3/28/07

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

MAR 30 2007

Complete if Known

Application Number	10/587,198
Filing Date	July 25, 2006
First Named Inventor	Andrzej Malek et al
Art Unit	
Examiner Name	
Attorney Docket Number	
Family Number	P2004J008

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, columns, lines, where relevant passages or relevant figures appear
		Number-Kind Code ² (if known)				
	AA	US-	4,112,051	09-05-1978	Sartori et al	
	AB	US-	4,112,052	09-05-1978	Sartori et al	
	AC	US-	4,405,585	09-20-1983	Sartori et al	
	AD	US-	4,417,075	11-22-1983	Stogryn	
	AE	US-	4,471,138	09-11-1984	Stogryn	
	AF	US-	4,487,967	12-11-1984	Stogryn et al	
	AG	US-	4,508,692	04-02-1985	Savage et al	
	AH	US-	4,618,481	10-21-1986	Heinzelmann et al	
	AI	US	4,665,195	05-12-1987	Stogryn et al	
	AJ	US-	4,762,934	08-09-1988	Stogryn	
	AK	US-	4,892,674	01-09-1990	Ho et al	
	AL	US-	4,894,178	01-16-1990	Ho et al	
	AM	US-	4,961,873	10-09-1990	Ho et al	
	AN	US-	5,098,604	03-24-1992	Brouard et al	
	AO	US-	5,098,684	03-24-1992	Kresge et al	
	AP	US-	5,102,643	04-07-1992	Kresge et al	
	AQ	US-	5,227,353	07-13-1993	Apelian et al	
	AR	US-	5,250,282	10-05-1993	Kresge et al	
	AS	US-	5,573,657	11-12-1996	Degnan et al	
	AT	US-	5,874,623	02-23-1999	Adkins et al	
	AU	US-	5,936,126	08-10-1999	Rühl et al	
	AV	US-	5,951,962	09-14-1999	Müller et al	
	AW	US-	6,238,701 B1	05-29-2001	Müller et al	
	AX	US-	6,248,924 B1	06-19-2001	Rühl et al	
	AY	US-	6,284,917 B1	09-04-2001	Brunner et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of cited document	Pages, columns, lines, where relevant passages or relevant figures appear	T ⁶
	BA	WO 2004/046076	06-03-2004	ExxonMobil Res. and Eng. Co.		
	BB	WO 2004/045767	06-03-2004	ExxonMobil Res. and Eng. Co.		
	BC	WO 2005/081778	09-09-2005	ExxonMobil Res. and Eng. Co.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Code of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/587,198
				Filing Date	July 25, 2006
				First Named Inventor	Andrzej Malek et al
				Art Unit	
				Examiner Name	
				Attorney Docket Number	
Sheet	2	of	2	Family Number	P2004J008

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	CA	P. A. WINSOR, "Binary and Multicomponent Solutions of Amphiphilic Compounds", Chemical Reviews, January 25, 1968, pp. 1-40, vol. 68, no. 1,		
	CB	ULRIKE CIESLA, FERDI SCHUTH, "Ordered mesoporous materials", 1999, pp. 131-149, 27, Elsevier Science B.V., Microporous and Mesoporous Materials		
	CC			
	CD			
	CE			
	CF			
	CG			
	CH			
	CI			
	CJ			
	CK			
	CL			
	CM			
	CN			
	CO			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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